SHEET 1 OF

SERIAL NO. ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 09/926,281 214505US8PCT (Modified) **APPLICANT** Yuichi WATANABE LIST OF REFERENCES CITED BY APPLICANT **GROUP** FILING DATE October 23, 2001 2838 **U.S. PATENT DOCUMENTS** FILING DATE SUB DOCUMENT **EXAMINER** DATE NAME CLASS IF APPROPRIATE **CLASS** INITIAL NUMBER C. FERRY, et al. 6,150,798 11/21/2000 AA 01/04/2000 F. MIZUNO, et al. AB 6,011,416 AC AD ΑE AF AG AH Αl AJ ΑK AL AM AN **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT DATE COUNTRY NO YES NUMBER JAPAN (submitting English translation only) 10/29/99 11-297713 AO ΑP 7-303373 11/14/95 JAPAN (submitting English translation only) JAPAN (submitting corr. US 6,150,798 only) AQ 11-164550 06/18/99 09/05/97 9-233810 JAPAN (submitting English translation only) AR EUROPE (submitting corr. US 6,011,416 and 860 946 08/26/98 JP 10-41797 only) AS Х JAPAN 02/13/98 10-41797 AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) J. C. ZOLPER, 1999 International Electron Devices Meeting, Technical Digest, pages 389-392, "WIDE BANDGAP SEMICONDUCTOR MICROWAVE TECHNOLOGIES: FROM PROMISE TO PRACTICE", 1999 AW S. YOSHIDA, The Furukawa Electric Co., Ltd., vol. 68, no. 7, pages 787-792, "ELECTRONIC DEXIGE USING GaN", AX1999 (submitting English translation only, pages 1-20) AY ΑZ **Date Considered** Examiner Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.